



# WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON LLP

PATENT, TRADEMARK, COPYRIGHT AND COMPUTER LAW COUNSEL

BRADFORD GREEN, BUILDING FIVE

755 MAIN STREET

P. O. BOX 224

MONROE, CONNECTICUT 06468

TELEPHONE: (203) 261-1234

FACSIMILE: (203) 261-5676

E MAIL: mail@wfva.net

PETER C. VAN DER SLUYS  
(1939-1991)

KENNETH Q. LAO, Ph.D.  
PATENT AGENT

ROBERT H. WARE  
ALFRED A. FRESSOLA  
K. BRADFORD ADOLPHSON  
FRANCIS J. MAGUIRE, JR.  
WILLIAM J. BARBER  
JAMES R. FREDERICK  
MILTON M. OLIVER\*  
JACK M. PASQUALE  
THADDIUS J. CARVIS  
STEPHEN B. SHEAR\*\*  
JAMES A. RETTER, Ph.D.  
ANDREW T. HYMAN

\*MA AND NY BAR  
\*\*VA AND NY BAR

June 21, 2002

Box Missing Parts  
Commissioner for Patents and Trademarks  
Washington, DC 20231

Re: U.S. Patent Serial No. 10/099,612  
Filed March 14, 2002  
Large Scale Production of Low Fat and SDS Gel Pure Kappa-Casein  
Glycomacropeptides (GMP) from Bovine Deprotenized Whey  
Inventor: Martin E. Davis, et al

Dear Sir:

We enclose the following:

- 1) Check in the amount of \$65.00
- 2) Check in the amount of \$55.00
- 3) Notice to File Missing Parts of Nonprovisional Application - Part 2
- 4) Transmittal Fee Form FY 2002
- 5) Petition for Extension of Time Under 37 CFR 1.136 (a)
- 6) Three Completed Declaration and Power of Attorney

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### Certificate of Mailing

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Cynthia A. Kane

(Type or print name of person mailing paper)

Date: June 21, 2002

Cynthia A. Kane  
(Signature of person mailing paper)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 120.00)

Complete if Known	
Application Number	10/099,612
Filing Date	03/14/2002
First Named Inventor	Martin E. Davis, et al
Examiner Name	
Group Art Unit	1761
Attorney Docket No.	835-005.5-1

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **23-0442**  
 Deposit Account Name **Ware, Fressola, Van der Sluys & Adolphson**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20**	=	
Independent Claims		X	
Multiple Dependent	- 3**	=	

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

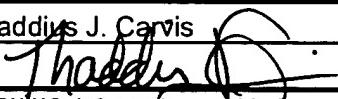
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 120.00)

## SUBMITTED BY

Complete if applicable

Name (Print/Type)	Thaddius J. Carvis	Registration No. (Attorney/Agent)	26,110	Telephone	(203) 261-1234
Signature				Date	06/21/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

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MP/HH 3  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,612	03/14/2002	Martin E. Davis	835-005.5-1

004955  
WARE FRESSOLA VAN DER SLUYS &  
ADOLPHSON, LLP  
BRADFORD GREEN BUILDING 5  
755 MAIN STREET, P O BOX 224  
MONROE, CT 06468

CONFIRMATION NO. 4343

## FORMALITIES LETTER



\*OC00000007857667\*

Date Mailed: 04/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/03/2002 SDENEDB1 00000050 10093612

01 FC:205

65.00 CP